

A characterization of Jordan algebras using solid lines

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Axial algebras and groups related to them



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Background



Primitive axial algebras of Jordan type η were introduced in 2015 by Hall, Rehren and Shpectorov [[HRS15](#)].

\star	1	0	η
1	1		η
0		0	η
η	η	η	0, 1

Primitive axial algebras of Jordan type $\eta \neq \frac{1}{2}$ are Matsuo algebras [HRS15].

$\eta \neq \frac{1}{2}$	$\eta = \frac{1}{2}$
Matsuo algebras	Matsuo algebras → Jordan algebras. ?

2022: Talk by Shpectorov on *solid lines*.

2024: Paper on arXiv by Gorshkov, Shpectorov, Staroletov [GSS24].

Today: Solid lines and Jordan algebras.

- ▶ \mathbb{F} is an algebraically closed field with $\text{char } \mathbb{F} \neq 2$.
- ▶ (A, X) is a primitive axial algebra of Jordan type $\frac{1}{2}$.
- ▶ A admits a unique Frobenius form $(,)$ such that $(a, a) = 1$ for every primitive axis $a \in A$ [HSS18].

$$(a, b) = 0$$

Definition. line

We call a 2-generated subalgebra $\langle\langle a, b \rangle\rangle$ of (A, X) solid if every primitive idempotent in $\langle\langle a, b \rangle\rangle$ is an axis of A .

Theorem ([GSS24]).

If $\text{char } \mathbb{F} = 0$ and $(a, b) \neq \frac{1}{4}$, then $\langle\langle a, b \rangle\rangle$ is solid.

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If $(a, b) \neq \frac{1}{4} \boxed{0, 1}$ then $\langle\langle a, b \rangle\rangle$ is solid.

→ What is the link with Jordan and Matsuo algebras?

$$|\sigma(\tau_a \tau_b)| = 3$$

2-generated subalgebras



2-generated subalgebras

Let $J(\phi)$, $\phi \in \mathbb{F}$, be the algebra over \mathbb{F} with basis x, y, σ and multiplication given by:

	x	y	σ
x	x	$\frac{1}{2}(x+y) + \sigma$	$\frac{1}{2}(\phi-1)x$
y	$\frac{1}{2}(x+y) + \sigma$	y	$\frac{1}{2}(\phi-1)y$
σ	$\frac{1}{2}(\phi-1)x$	$\frac{1}{2}(\phi-1)y$	$\frac{1}{2}(\phi-1)\sigma$

Then $J(\phi)$ is a Jordan algebra and x and y are primitive axes.

Theorem ([HRS15]).

Let $A = \langle\langle a, b \rangle\rangle$ be a primitive axial algebra of Jordan type half, where a and b are primitive axes. Let $\phi = (a, b)$. Then there is a unique epimorphism from $J(\phi)$ onto A sending x and y onto a and b , respectively.

Theorem ([HRS15]).

$J(\phi)$ is simple except in the following two cases:

1. $J(0)$ has a unique minimal ideal, with quotient $2B = \mathbb{F} \oplus \mathbb{F}$;
2. $J(1)$ has two quotients, the 1-dimensional point and a 2-dimensional algebra $\overline{J(1)}$.

$$u = 1 \quad e^2 = f^2 = 0$$

Toric lines

Subalgebras $\langle\langle a, b \rangle\rangle$ with $(a, b) \neq 0, 1$. These are spanned by elements e, u, f with $a = e + \frac{1}{2}u + f$ and $b = \mu e + \frac{1}{2}u + \mu^{-1}f$ for some $\mu \in \mathbb{F}^\times$. Let V be the variety of primitive idempotents in $\langle\langle a, b \rangle\rangle$.

In [GSS24], the following was shown:

$$V = \left\{ a_\lambda := \lambda e + \frac{1}{2}u + \lambda^{-1}f \mid \lambda \in \mathbb{F}^\times \right\}$$

$$a_\lambda^{\tau_{a\mu}} = a_{\lambda^{-1}\mu^2}$$

Flat lines

Subalgebras $\langle\langle a, b \rangle\rangle$ with $(a, b) = 0$. These are spanned by elements $a, b, v = ab$. Let V be the variety of primitive idempotents in $\langle\langle a, b \rangle\rangle$.

In [GSS24], the following was shown:

$$V = \{ \underline{a_\lambda := a + \lambda v, b_\lambda := b + \lambda v} \mid \lambda \in \mathbb{F} \}$$

$$a_\lambda^{\tau a_\mu} = a_{2\mu - \lambda}, b_\lambda^{\tau a_\mu} = b_{-2 - 2\mu - \lambda}$$

$$V = \{ a, b, \tau_c \mid c \in \langle\langle a, b \rangle\rangle \}$$

Baric lines

Subalgebras $\langle\langle a, b \rangle\rangle$ with $(a, b) = 1$. These are spanned by elements $a, v = 2(ab - a), v^2$. Let V be the variety of idempotents in $\langle\langle a, b \rangle\rangle$. *is in $\mathbb{J}(1)$*

In [GSS24], the following was shown:

$$V = \{ a_\lambda := a + \lambda v + \lambda^2 v^2 \mid \lambda \in \mathbb{F} \}$$

$$a_\lambda^{r_{a^\mu}} = a_{2^\mu - \lambda}$$

Two important polynomials



Two important polynomials

Lemma.

Let (A, X) be a primitive axial algebra of Jordan type half over a field \mathbb{F} , and $a, b \in X$. the subalgebra $\langle\langle a, b \rangle\rangle$ is solid if and only if

$$Q_x(c) = c(cx) - \frac{1}{2}(cx + (c, x)c)$$

and

$$P_{x,y}(c) = 4(cx)(cy) - (c, y)cx - (c, x)cy \\ - (cy)x - (cx)y - (c, xy)c + c(xy)$$

are zero for all primitive idempotents $c \in \langle\langle a, b \rangle\rangle$ and $x, y \in A$.

Two important polynomials

Write $x^{\tau_c} = x + 4(c, x)c - 4cx$. Then

$$x^{\tau_c} y^{\tau_c} - (xy)^{\tau_c} = 4P_{x,y}(c) - 16Q_x(c) - 16Q_y(c).$$

If c is an axis, then for all $x, y \in A$, we have $Q_x(c) = 0$ so $P_{x,y}(c) = 0$.

Conversely, if $P_{x,y}(c) = Q_x(c) = 0$ for all $x, y \in A$, then is an automorphism of A , and $\{a, b\}^{\langle \tau_c | c \rangle} = V$.

Most lines are solid



The unipotent case

↳ 0,1

Note that $P_{x,y}^u(\lambda) = P_{x,y}(\bar{a}_\lambda)$ and $Q_x^u(\lambda) = P_{x,y}(\bar{a}_\lambda)$ are always polynomial maps, for all $x, y \in A$. Suppose that

$\langle\langle a, b \rangle\rangle \cong J(1), \mathbb{F} \oplus \mathbb{F}$.

↳ $\deg |a_\lambda| = 2$

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- ▶ $\deg_\lambda(a_\lambda) = 1$, so $\deg_\lambda(P_{x,y}^u), \deg_\lambda(Q_x^u) \leq 2$.
- ▶ $\langle\langle a, b \rangle\rangle$ contains $\dot{a}, \dot{a}^{Tb}, \dot{a}^{TbTa}$, so $\underline{P_{x,y}^u(\lambda), Q_x^u(\lambda)}$ have at least 3 roots.

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- ▶ $\deg_\lambda(a_\lambda) = 1$, so $\deg_\lambda(P_{x,y}^u), \deg_\lambda(Q_x^u) \leq 2$.
- ▶ $\langle\langle a, b \rangle\rangle$ contains a, a^{Tb}, a^{TbTa} , so $P_{x,y}^u(\lambda), Q_x^u(\lambda)$ have at least 3 roots.
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- ▶ $\deg_\lambda(a_\lambda) = 2$, so $\deg_\lambda(P_{x,y}^u), \deg_\lambda(Q_x^u) \leq 2$
- ▶ $\langle\langle a, b \rangle\rangle$ contains a, a^{Tb}, a^{TbTa} , so $P_{x,y}^u(\lambda), Q_x^u(\lambda)$ have at least 3 roots.
- ▶ $\deg P_{x,y}^u, \deg Q_x^u \leq 2$, so $P_{x,y}^u = Q_x^u = 0$.
- ▶ For flat lines, we also have that $\underline{P_{x,y}(b_\lambda) = Q_x(b_\lambda) = 0}$.

Note that $P_{x,y}^u(\lambda) = P_{x,y}(a_\lambda)$ and $Q_x^u(\lambda) = P_{x,y}(a_\lambda)$ are always polynomial maps, for all $x, y \in A$. Suppose that $\langle\langle a, b \rangle\rangle \not\cong J(1), \mathbb{F} \oplus \mathbb{F}$.

- ▶ $\deg_\lambda(a_\lambda) = 1$, so $\deg_\lambda(P_{x,y}^u), \deg_\lambda(Q_x^u) \leq 2$.
- ▶ $\langle\langle a, b \rangle\rangle$ contains a, a^{Tb}, a^{TbTa} , so $P_{x,y}^u(\lambda), Q_x^u(\lambda)$ have at least 3 roots.
- ▶ $\deg P_{x,y}^u, \deg Q_x^u \leq 2$, so $P_{x,y}^u = Q_x^u = 0$.
- ▶ For flat lines, we also have that $P_{x,y}(b_\lambda) = Q_x(b_\lambda) = 0$.

If $\langle\langle a, b \rangle\rangle \cong J(1)$ and $\text{char } \mathbb{F} \neq 3$, then $\langle\langle a, b \rangle\rangle$ is also solid.

Theorem.

Let (A, X) be a primitive axial algebra of Jordan type $\eta = \frac{1}{2}$ over a field \mathbb{F} with $\text{char } \mathbb{F} \neq 2$. Denote by (\cdot, \cdot) the unique Frobenius form on A . Given $a, b \in X$, the subalgebra $\langle\langle a, b \rangle\rangle$ is solid whenever $(a, b) \neq \frac{1}{4}$ or $\langle\langle a, b \rangle\rangle$ is not 3-dimensional.

↓
 $\mathbb{J}(1)$ is solid

Solid lines and derivations



Let R be a commutative associative \mathbb{F} -algebra. If $Q_x^u, P_{x,y}^u \in A[\lambda]$ are zero polynomials, then this is still true over $A_R := A \otimes_{\mathbb{F}} R$.

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$Q_x^u, P_{x,y}^u \in A[\lambda]$ are zero polynomials, then this is still true over $A_R := A \otimes_{\mathbb{F}} R$.

Dual numbers

The algebra of the dual numbers is $\mathbb{F}[\varepsilon] := \{a + \varepsilon b \mid a, b \in \mathbb{F}\}$ with $\varepsilon^2 = 0$.

If $Q_x^u(\varepsilon) = P_{x,y}^u(\varepsilon) = 0$ for all $x, y \in A$, then τ_{a_ε} is automorphism of $A_{\mathbb{F}[\varepsilon]}$.

Associators/Inner derivations

Given $a, b, x \in A$, define

$$D_{a,b}(x) = a(bx) - b(ax).$$

$$x^{\tau_a \tau_b} = x + \mu \varepsilon D_{a,b}(x) \text{ for a } \mu \in \mathbb{F}, \text{ for all } x \in A.$$

Corollary.

If $\langle\langle a, b \rangle\rangle$ is solid, then $D_{a,b}$ is a derivation.

Proposition.

If $D_{a,b}$ is a derivation, then $\langle\langle a, b \rangle\rangle$ is solid.

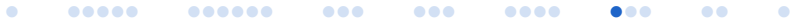
Proposition.

If $D_{a,b}$ is a derivation, then $\langle\langle a, b \rangle\rangle$ is solid.

Assume $\text{char } \mathbb{F} = 3$, $\langle\langle a, b \rangle\rangle$ baric.

- ▶ $\chi = \tau_a \tau_{a_\varepsilon}$ is automorphism of $A_{\mathbb{F}[\varepsilon]}$
- ▶ $a_\lambda^x = a_{\lambda-4\varepsilon}$ is an axis for $\lambda \in \mathbb{F}_3$
- ▶ $P_{x,y}^u(\lambda - 4\varepsilon) = Q_x^u(\lambda - 4\varepsilon) = 0$ implies that λ is a root of multiplicity 2.
- ▶ $\deg P_{x,y}^u, \deg_\lambda Q_x^u \leq 4$, so $P_{x,y}^u = Q_x^u = 0$.

Jordan algebras



Definition.

A non-associative commutative ring A with $2 \in A^\times$ is *almost Jordan* if $D_{x,y}$ is a derivation for all $x, y \in A$.

Marshall Osborn studied almost Jordan algebras in [Os65].

Theorem ([Os65]).

Let A be an almost Jordan ring and e a ~~primitive~~ idempotent. Then A is Jordan if and only if $A_0(e)$ is.

and $A_1(e)$

Definition.

A non-associative commutative ring A with $2 \in A^\times$ is *almost Jordan* if $D_{x,y}$ is a derivation for all $x, y \in A$.

Marshall Osborn studied almost Jordan algebras in [Os65].

Theorem.

Let A be an almost Jordan ring *linearly spanned by idempotents*. Then A is Jordan.

Theorem.

A primitive axial algebra of Jordan type half (A, X) of Jordan type half over a field \mathbb{F} with $\text{char } \mathbb{F} \neq 2$ such that for all $a, b \in X$ the line $\langle\langle a, b \rangle\rangle$ is solid, is Jordan.

Further work



- ▶ More structure theory of Jordan type half axial algebras (joint with Sergey Shpectorov)
- ▶ Non-Jordan, non-Matsuo Jordan type half axial algebras.



References I

Thank you for listening!

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